## AP3 Rec'd PCT/PTO 21 FEB 2006

PTO-1390 (Rev. 07-2005)

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| TRANSMITTAL LETTER TO   | ATTORNEY'S DOCKET NUMBER<br>2322.74637   |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US)  |  |  |  |  |  |  |  |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |  | U.S. APPLICATION NO (I HOWITZ 6) 37 CFR 1.5)   |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO. PCT/EP2004/009311                                       | INTERNATIONAL FILING DATE<br>19 Aug. 2004 (19.08.2004)   | PRIORITY DATE CLAIMED<br>22 Aug. 2003 (22.08.2003)   |  |  |  |  |  |
| TITLE OF INVENTION COLOUR CODING LOCK   |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US<br>LAHUSEN, Eric, Paul                                      |  |  |  |  |  |  |  |
| Applicant herewith submits to the United Sta  | ates Designated/Elected Office (DO/EC  | D/US) the following items and other information:   |  |  |  |  |  |
| 1. This is a FIRST submission of items co   | ncerning a submission under 35 U.S.C. 371  | i.   |  |  |  |  |  |
| 2. This is a SECOND or SUBSEQUENT st  | submission of items concerning a submission  | n under 35 U.S.C. 371.   |  |  |  |  |  |
| 3. This is an express request to begin nation (5), (6), (9) and (21) indicated below. | o. —— This is an express request to begin national examination procedures (35 0.5.0. 57 1(1)). The submission must include items |  |  |  |  |  |  |
| 4. The US has been elected (Article 31).  |  |  |  |  |  |  |  |
| 5. A copy of the International Application  |  |  |  |  |  |  |  |
| a.  is attached hereto (required  | a. is attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |  |
| b. has been communicated by   | the International Bureau.  | and the second of the second o |  |  |  |  |  |
| c. is not required, as the applic   | cation was filed in the United States Receiving  | ng Office (RO/US).   |  |  |  |  |  |
| 6. An English language translation of the   | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).                                 |  |  |  |  |  |  |
| a. is attached hereto.  | a. is attached hereto.   |  |  |  |  |  |  |
|   | b. has been previously submitted under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |
| 7. Amendments to the claims of the Inter  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))                             |  |  |  |  |  |  |
| a. are attached hereto (requir  | a. are attached hereto (required only if not communicated by the International Bureau).  |  |  |  |  |  |  |
| b. have been communicated   | by the International Bureau.   |  |  |  |  |  |  |
| c. have not been made; howe   | ever, the time limit for making such amendm  | nents has NOT expired.   |  |  |  |  |  |
| d. Mave not been made and w   | vill not be made.  |  |  |  |  |  |  |
| 8. An English language translation of the   | e amendments to the claims under PCT Art   | cicle 19 (35 U.S.C. 371(c)(3)).  |  |  |  |  |  |
| 9.* An oath or declaration of the inventor  | (s) (35 U.S.C. 371(c)(4)). Unexecuted  |  |  |  |  |  |  |
| 10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).          | e annexes of the International Preliminary Ex  | xamination Report under PCT  |  |  |  |  |  |
| Items 11 to 20 below concern document(s)  | ) or information included:   |  |  |  |  |  |  |
| 11. An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.  |  |  |  |  |  |  |
| 12. An assignment document for recording  | ng. A separate cover sheet in compliance wit   | th 37 CFR 3.28 and 3.31 is included.   |  |  |  |  |  |
| 13. A preliminary amendment.  |  | paper is being deposited with the United<br>s EXPRESS MAIL in an envelope  |  |  |  |  |  |
| 14. An Application Data Sheet under 37 C  |  | PCT, Commissioner for Patent, P.O.   |  |  |  |  |  |
| 15. A substitute specification.   | 2-21.06  | 2000-  |  |  |  |  |  |
| 16. A power of attorney and/or change of  | address letter   | Express Mail No. EV 032732599 US   |  |  |  |  |  |
| 17. A computer-readable form of the sequ  | A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 37 CFR 1.821- 1.825.           |  |  |  |  |  |  |
| 18. A second copy of the published Intern   | A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |
| 19. A second copy of the English languag  | ge translation of the international application  | under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 2213-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.   |                                     |                    | ATTORNEY'S DOCKET NUMBER   |                               |              |              |  |  |
|---|-------------------------------------|--------------------|--|-------------------------------|--------------|--------------|--|--|
| 10/568978 PCT/EP2004/009311   |                                     |                    | 2322.74637   |                               |              |              |  |  |
| 20. Other   | items or information                |                    |  |                               |              |              |  |  |
| Thre  | Three (3) Sheets of Formal Drawings |                    |  |                               |              |              |  |  |
|   | . ,                                 |                    | <b>O</b>   |                               |              |              |  |  |
|   |                                     |                    |  |                               |              |              |  |  |
| The following fees have been submitted  |                                     |                    |  |                               | CALCULATIONS | PTO USE ONLY |  |  |
|   | c national fee (37                  |                    |  | \$300<br>                     | \$ 300.00    |              |  |  |
| 22. 🔽 Exam  | nination fee (37 CF                 | FR 1.492(c))       |  |                               |              | 1            |  |  |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations |                                     |                    |  |                               | \$ 200.00    |              |  |  |
| 23. 🗹 Sear  | ch fee (37 CFR 1.                   | 492(b))            |  |                               |              |              |  |  |
| If the written opin   | ion of the ISA/US                   | or the Internation | al preliminary examination rep   |                               |              |              |  |  |
| Search fee (37 C  | FR 1.445(a)(2)) ha                  | as been paid on t  | he international application to  | <b>\$0</b><br>the USPTO as an | \$ 500.00    |              |  |  |
|   | onal Searching Aut                  |                    | <br>er than the US and provided to   | \$100<br>the Office or        |              |              |  |  |
| previously<br>All other situation   | communicated to                     | the US by the IE   | 3  | \$400                         | ,            |              |  |  |
| All other situations \$500  |                                     |                    |  |                               | 1 000 00     |              |  |  |
|   | TOTAL OF 21, 22 e for specification |                    | d in paper over 100 sheets (ex   | cluding                       | 1,000.00     |              |  |  |
| sequence  | e listing in complia                | nce with 37 CFR    | 1.821(c) or (e) or computer pr   | ogram listing in an           |              | ·            |  |  |
| electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |                                     |                    |  |                               |              |              |  |  |
| Total Sheets  | Extra Sheets                        |                    | Number of each additional 50 or fraction RATE thereof (round up to a whole number) |                               |              |              |  |  |
| - 100 =   | /50 =                               |                    |  | x \$250                       | \$ 000.00    | 1            |  |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).                             |                                     |                    |  |                               | \$ 130.00    |              |  |  |
| CLAIMS  | NUMB                                | ER FILED           | NUMBER EXTRA   | RATE                          | \$           |              |  |  |
| <b>√</b> Total claims   | 28                                  | - 20 =             | 8 × \$ <b>50</b>   |                               | \$ 400.00    |              |  |  |
| Independent claims 1 - 3  |                                     | - 3 =              | :  | x \$200                       | \$ 000.00    |              |  |  |
| MULTIPLE DEPE   | ENDENT CLAIM(S                      | if applicable)     |  | + \$360                       | \$           |              |  |  |
| TOTAL OF ABOVE CALCULATIONS =   |                                     |                    |  | \$ 1,530.00                   |              |              |  |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |                                     |                    | 765.00   |                               |              |              |  |  |
| SUBTOTAL =  |                                     |                    |  | \$ 765.00                     |              |              |  |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |                                     |                    | \$ 130.00  |                               |              |              |  |  |
| TOTAL NATIONAL FEE =  |                                     |                    | \$ 895.00  |                               |              |              |  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +                                    |                                     |                    | \$ 000.00  |                               |              |              |  |  |
| TOTAL FEES ENCLOSED =   |                                     |                    |  | \$ 895.00                     |              |              |  |  |
|   |                                     |                    |  | Amount to be refunded:        | \$           |              |  |  |
|   |                                     |                    |  | Amount to be<br>charged       | \$           |              |  |  |

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| a. 🔽   | A check in the amount of \$ 895.00   |                                      | _ to cover the above t                         | ees is enclosed.                   | e are east 10                                      | W I       | LU       | 200 |
| b. 🗀   | Please charge my Deposit Account N<br>A duplicate copy of this sheet is enclo  |                                      | in the amount of \$                            | to cov                             | ver the above fees.                                |           |          |     |
| c. 🗸   | The Commissioner is hereby authorized Account No. <u>07-2069</u> . A duplicate | d to charge any<br>e copy of this sh | v additional fees which neet is enclosed.      | may be required, o                 | r credit any overpayn                              | nent to D | )eposit  |     |
| d. 🔲   | Fees are to be charged to a credit care be included on this form. Provide cre  | d. WARNING: In<br>edit card informa  | nformation on this formation and authorization | n may become publi<br>on PTO-2038. | ic. Credit card inforr                             | nation s  | hould no | ot  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |  |                                      |  |                                    |  |           |          |     |
|  |  |                                      |  |                                    |  |           |          |     |
|  |  |                                      |  |                                    | ,  | , ,       |          |     |
| SEND A   | LL CORRESPONDENCE TO:  |                                      |  | Vaure                              | nes (  | "ai       | _        |     |
|  | ence J. Crain, Esq.  |                                      |  | SIGNATURE                          | <del>// // // // // // // // // // // // // </del> |           |          | -   |
|  | ER, BURNS & CRAIN, LTE   | <b>)</b> .                           |  | Lawrence J.                        | Çrain .  |           |          |     |
|  | outh Wacker Drive  |                                      |  | NAME                               | -  |           |          |     |
| Suite  |  |                                      |  | 31,497                             |  |           |          |     |
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|  | mile No.: (312) 360-9315   |                                      |  | •                                  |  |           |          |     |
| Email  | : docket@gbclaw.net  |                                      |  |                                    |  |           |          |     |
|  |  |                                      |  |                                    |  |           |          |     |
|  |  |                                      |  |                                    |  |           |          |     |
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